

IPLTRM CASE SUMMARY

KYC with advisor review

Onboarding, operations and compliance technology teams

Windmill's contribution

Windmill mapped the advisor journey, designed the review experience and engineered the document-to-profile AI workflow with IPLTRM, an affiliated Windmill venture.

The workflow

Advisors upload documents and follow their processing progress. Extracted information becomes suggested profile fields. Missing or conflicting information stays visible, and the advisor can validate or override suggestions alongside the source document.

What the evidence establishes

This example demonstrates a workflow and its review controls. A new institution needs its own evaluation before drawing conclusions about handling time, field quality or readiness for rollout.

Example checks for your institution

Illustrative evaluation scenarios; no test results are reported here.

Conflicting information. Provide two documents with different addresses. Check that the conflict remains visible and a reviewer can resolve it.

Missing evidence. Leave a required field unsupported. Check that the workflow asks for evidence instead of treating a suggestion as complete.

Reviewer correction. Change a suggested value. Check the saved value, its source and the record of the reviewer's action.

Handling time and rework. Compare the existing process and proposed workflow on the same representative cases. Include correction time and reviewer effort.

A scope around your next decision

A Proof of Value can establish a working slice, representative evaluation cases and the evidence for your next investment decision. Scope and acceptance criteria are agreed for your workflow.

[Read the case](#) · [Discuss your workflow](#)